

# ASK Investment Managers Limited

CIN : U65993MH2004PLC147890

Registered office: Birla Aurora, 16 Level, Office Floor 9, Dr. Annie Besant Road, Worli, Mumbai- 400 030

E Mail: [cosec@askgroup.in](mailto:cosec@askgroup.in)

## NOTICE

Notice is hereby given that the 17<sup>th</sup> Annual General Meeting of the Members of ASK Investment Managers Limited will be held at a shorter notice on Wednesday, 29<sup>th</sup> September, 2021 at 2:30 p.m. through video conferencing (Microsoft Teams), to transact the following businesses:

### ORDINARY BUSINESS

1. To receive, consider and adopt:
  - a. The Standalone Audited Financial Statements of the Company for the financial year ended 31<sup>st</sup> March, 2021 together with the Reports of the Board of Directors and Auditors thereon.
  - b. The Consolidated Audited Financial Statements of the Company for the financial year ended 31<sup>st</sup> March, 2021 together with the Report of the Auditors thereon.
2. To appoint a Director in place of Mr. Sunil Rohokale (DIN: 01896946), who retires by rotation, and being eligible, offers himself for re-appointment.

**By order of the Board  
For ASK Investment Managers Limited**

Sd/-

**Poonam Tanwani  
Company Secretary  
(ICSI Membership No. ACS 19182)**

**Date: 24<sup>th</sup> September, 2021**

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## NOTES

1. In view of the continuing COVID-19 pandemic, Ministry of Corporate Affairs (MCA) has vide its General Circular No. 14/2020 dated April 08, 2020, General Circular No. 17/2020 dated April 13, 2020 and General Circular No. 20/2020 dated May 5, 2020 read with General Circular No. 02/2021 dated January 13, 2021 (collectively referred to as 'MCA Circulars') permitted the holding of the AGM through VC/OAVM, without the physical presence of the Members at a common venue.

In due compliance with the above MCA Circulars, this AGM of the Company shall be convened through VC.

Members can join the AGM through VC 15 minutes before and after the scheduled time of the commencement of the Meeting by following the procedure mentioned in this Notice.

2. Pursuant to the provisions of Section 105 of the Act, a member entitled to attend and vote at the AGM is entitled to appoint a proxy to attend and vote on his/her behalf and the proxy need not be a member of the Company. Since this AGM is being held through VC/OAVM, physical attendance of the Members has been dispensed with. Accordingly, in terms of the MCA and the SEBI Circulars, the facility for appointment of proxies by the Members will not be available for the AGM and hence the Proxy Form, Attendance Slip and Route Map are not annexed to this Notice.
3. In case if the member is a Body Corporate/Institution, then they are requested to send scanned copy (PDF/JPG format) of its board or governing body resolution/authorization, authorizing its representative(s) to attend the AGM through VC on its behalf. The said resolution/authorization shall be emailed, through its registered email address to Ms. Poonam Tanwani, Company Secretary at [poonam.tanwani@askgroup.in](mailto:poonam.tanwani@askgroup.in).
4. Members attending the AGM through VC will be counted for the purpose of reckoning the quorum under Section 103 of the Companies Act, 2013.
5. In line with the MCA Circular No. 17/2020 dated April 13, 2020, the Notice calling the AGM has been uploaded on the website of the Company at [www.askfinancials.com](http://www.askfinancials.com).
6. For any further correspondence or Members who need technical assistance relating to attending the AGM through VC, can reach Ms. Poonam Tanwani, Company Secretary at [poonam.tanwani@askgroup.in](mailto:poonam.tanwani@askgroup.in).

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### NOTES TO THE EXPLANATORY STATEMENT IN TERMS OF CLAUSE 1.2.5 OF SECRETARIAL STANDARD ON GENERAL MEETINGS (SS-2) ISSUED BY THE INSTITUTE OF COMPANY SECRETARIES OF INDIA

#### Information about the Appointees

1. Mr. Sunil Rohokale		
1	Age	51 Years
2	Qualifications	B.E., MBA
3	Experience	He has been associated with the Group since 2008 and has been on the Board since 2012. He has over 24 years of vast experience in the Banking and Finance industry. He was previously associated with ICICI Bank.
4	Terms & Conditions of appointment/re-appointment	Re-appointment as director (category: CEO & MD) of the Company, liable to retire by rotation to comply with the provisions of section 152 of the Companies Act, 2013.
5	Remuneration proposed	As per existing approved terms of appointment
6	Remuneration last drawn	During the FY 2020-21, an aggregate amount of INR. 7,03,89,012 was paid towards salary, retirement benefits, and perquisites
7	Date of first appointment	6 <sup>th</sup> February, 2012
8	Shareholding in the Company	1.49%
9	Relationship with other Directors, Manager and other KMPs	None
10	Number of Meetings of the Board attended during the year	7/7
11	Other Directorships	<ol style="list-style-type: none"><li>1. Nivara Home Finance Limited</li><li>2. ASK Investment Managers Limited</li><li>3. ASK Wealth Advisors Private Limited</li><li>4. ASK Property Advisory Services Private Limited</li><li>5. ASK Family Office and Investmentadvisers Private Limited</li><li>6. ASK Property Investment Advisors Private Limited</li><li>7. ASK Pravi Capital Advisors Private Limited</li><li>8. ASK Financial Holdings Private Limited</li><li>9. Geetanjali and Sunil Rohokale Foundation</li><li>10. ASK Capital Management Pte. Limited, Singapore</li><li>11. ASK India Real Estate Special Opportunities Fund Pte. Limited</li><li>12. ASK India Real Estate Special Situations Fund Pte. Limited</li></ol>
12	Membership/ Chairmanship of Committees of other Boards	As per below table

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<b>Sr. No.</b>	<b>Name of the Company</b>	<b>Name of the Committee</b>	<b>Chairman / Member</b>
1.	ASK Financial Holdings Private Limited	Risk Management Committee	Member

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### **INSTRUCTIONS FOR MEMBERS FOR ATTENDING THE AGM THROUGH VC (MICROSOFT TEAMS) ARE AS UNDER:**

1. The invitation through link to join the AGM will be sent to the Members on their registered email IDs latest by 27<sup>th</sup> September, 2021.
2. Members may attend the AGM, by following the invitation link sent to their registered email IDs. Members will be able to locate Meeting ID/ Password/ and JOIN MEETING tab. By Clicking on JOIN MEETING they will be redirected to Meeting Room via browser or by running Temporary Application. In order to join the Meeting, follow the step and provide the required details (mentioned above – Meeting Id/Password/Email Address) and Join the Meeting. Members are encouraged to join the Meeting through Laptops for better experience.
3. In case of Android/Iphone connection, Participants will be required to download and Install the appropriate application as given in the mail to them. Application may be downloaded from Google Play Store/ App Store.
4. Further Members will be required to allow Camera and use Internet audio settings as and when asked while setting up the meeting on Mobile App.
5. Please note that Participants connecting from Mobile Devices or Tablets or through Laptop connecting via Mobile Hotspot may experience Audio/Video loss due to Fluctuation in their respective network. It is therefore recommended to use Stable Wi-Fi or LAN Connection to mitigate any kind of aforesaid glitches.
6. Members who need assistance before or during the AGM, can contact Mr. Poonam Tanwani, Company Secretary, at +91 9099097753 or email her at [poonam.tanwani@askgroup.in](mailto:poonam.tanwani@askgroup.in)