

ASK Financial Holdings Private Limited

CIN : U74999MH2010PTC199927

Registered office: Birla Aurora, 16 Level, Office Floor 9, Dr. Annie Besant Road, Worli, Mumbai- 400 030

E Mail: lijo.varghese@askgroup.in

NOTICE

NOTICE is hereby given that the 11th Annual General Meeting of the Members of ASK Financial Holdings Private Limited will be held at a shorter notice on Wednesday, 29th September, 2021 at 12:15 p.m. at the Registered Office of the Company at Birla Aurora, 16 Level, Office Floor 9, Dr. Annie Besant Road, Worli, Mumbai 400 030, to transact the following businesses:

ORDINARY BUSINESS

1. To receive, consider and adopt the Audited Financial Statements of the Company for the financial year ended on 31st March, 2021 together with the Reports of Board of Directors and Auditors thereon.
2. To appoint a Director in place of Mr. Maneesh Yadav (DIN: 07670597), who retires by rotation as a Director, and being eligible, offers himself for re-appointment.

SPECIAL BUSINESS

3. **To regularize appointment of Mr. Rajesh Saluja (DIN: 01714168) as an Non-executive Director of the Company**

To consider and if thought fit, to pass with or without modification(s), the following resolution as an **Ordinary Resolution:**

“RESOLVED THAT pursuant to the provisions of Sections 149, 152, 160 and other applicable provisions, if any, of the Companies Act, 2013 (‘the Act’), and the Companies (Appointment and Qualification of Directors) Rules, 2014, (including any statutory amendment(s), modification(s), enactment(s) or re-enactment(s) thereof, for the time being in force), Mr. Rajesh Saluja (DIN: 01714168), who was appointed as an Additional Director of the Company with effect from 27th January, 2021 by the Board of Directors pursuant to Section 161 of the Act and who holds office only upto the date of the ensuing Annual General Meeting of the Company and in respect of whom, the Company has received a notice in writing from a member under Section 160 of the Act, proposing his candidature for the office of the Director of the Company, be and is hereby appointed as a Non-Executive Director of the Company, whose period of office will be liable to determination by retirement of directors by rotation.

RESOLVED FURTHER THAT Mr. Sunil Rohokale (DIN: 01896946), Director, Mr. Maneesh Yadav (DIN: 07670597), CEO & Managing Director and Mr. Lijo Varghese, Company Secretary of the Company be and are hereby severally authorized to do all such acts, deeds, matters and things as may be necessary for the purpose of giving effect to this resolution including filing necessary forms with the Registrar of Companies, Maharashtra at Mumbai.”

**By order of the Board
For ASK Financial Holdings Private Limited**

Sd/-

**Lijo Varghese
Company Secretary
(ICSI Membership No. ACS 43287)**

**Place: Mumbai
Date: 24th September, 2021**

Registered Office:
Birla Aurora, 16 Level,
Office Floor 9, Dr. Annie Besant Road,
Worli, Mumbai - 400 030.

ASK Financial Holdings Private Limited

CIN : U74999MH2010PTC199927

Registered office: Birla Aurora, 16 Level, Office Floor 9, Dr. Annie Besant Road, Worli, Mumbai- 400 030

E Mail: lijo.varghese@askgroup.in

NOTES

1. Corporate Members intending to send their authorized representatives to attend the Annual General Meeting (AGM) are requested to send a duly certified copy of their Board Resolution authorizing their representatives to attend and vote at the AGM.
2. The attendance slip for the members is annexed hereto this notice.
3. Explanatory Statement as required to be furnished under Section 102 of the Companies Act, 2013 is annexed to this notice.
4. Pursuant to Secretarial Standard-2 on “General Meetings” issued by the Institute of Company Secretaries of India (ICSI), brief resume and relevant details of the Directors proposed to be appointed at the ensuing Annual General Meeting is stated in the Annexure to the Notice.
5. Pursuant to Secretarial Standard-2 on “General Meetings” issued by the Institute of Company Secretaries of India (ICSI), route map of the venue of the meeting is stated in the Annexure to the Notice.

ASK Financial Holdings Private Limited

CIN : U74999MH2010PTC199927

Registered office: Birla Aurora, 16 Level, Office Floor 9, Dr. Annie Besant Road, Worli, Mumbai- 400 030

E Mail: lijo.varghese@askgroup.in

EXPLANATORY STATEMENT

The following Explanatory Statement pursuant to Section 102 (1) of the Companies Act, 2013 sets out all material facts relating to the business mentioned in Item no. 3 in the accompanying Notice of the Annual General Meeting.

Item No. 3

To regularize appointment of Mr. Rajesh Saluja (DIN: 01714168) as an Non-executive Director of the Company

Mr. Rajesh Saluja (DIN: 01714168), was appointed as an Additional Director with effect from 27th January, 2021 by the Board in accordance with the Articles of Association and Section 161 of the Company Act 2013 ("Act").

As per section 161 of the Act, Mr. Rajesh Saluja holds office upto the date of the ensuing AGM on 29th September, 2021. The Company has received a notice in writing from a member under Section 160 of the Act, proposing the candidature of Mr Rajesh Saluja to be appointed as a Non-Executive Director at the ensuing AGM liable to retire by rotation. Mr. Rajesh Saluja has consented to the proposed appointment and declared qualified.

Mr. Rajesh Saluja possesses the requisite knowledge, experience and skill for the position of Director. The Board on receipt of the said notice from a member and subject to approval of members in the ensuing AGM, has accorded its consent, to appoint Mr. Rajesh Saluja as a Non-Executive Director liable to retire by rotation.

A Note in terms of Clause 1.2.5 of secretarial standard on general meetings (SS-2) issued by the Institute of Company Secretaries of India in relation to the details of Mr. Rajesh Saluja is enclosed herewith.

Accordingly, the Board recommends the Ordinary Resolution as set out at item no. 3 of the accompanying Notice in relation to regularization of appointment of Mr. Rajesh Saluja as an Non-Executive Director of the Company for approval of the members.

Except Mr. Rajesh Saluja, none of the Directors or Key Managerial Personnels (KMPs) of the Company and their relatives are concerned or interested, financially or otherwise, in the resolution set out at Item no. 3 of the accompanying Notice.

**By order of the Board
For ASK Financial Holdings Private Limited**

Sd/-

**Lijo Varghese
Company Secretary
(ICSI Membership No. ACS 43287)**

Place: Mumbai

Date: 24th September, 2021

Registered Office:

Birla Aurora, 16 Level,
Office Floor 9, Dr. Annie Besant Road,
Worli, Mumbai - 400 030.

ASK Financial Holdings Private Limited

CIN : U74999MH2010PTC199927

Registered office: Birla Aurora, 16 Level, Office Floor 9, Dr. Annie Besant Road, Worli, Mumbai- 400 030

E Mail: lijo.varghese@askgroup.in

NOTES TO THE EXPLANATORY STATEMENT IN TERMS OF CLAUSE 1.2.5 OF SECRETARIAL STANDARD ON GENERAL MEETINGS (SS-2) ISSUED BY THE INSTITUTE OF COMPANY SECRETARIES OF INDIA**Information about the Appointees**

	Particulars	Mr. Maneesh Yadav	Mr. Rajesh Saluja
1	Age	50 Years	54 Years
2	Qualifications	Graduate in Mechanical Engineering, Masters in Business Administration and Advance Management Program.	Holds Bachelor's Degree in Maths and Economics and Master's degree in Marketing Management
3	Experience	Over 23 years of diversified experience in Banking & Financial services. Hands on experience in Non banking financial services and fund business. He was previously associated with Aditya Birla Capital.	Mr. Saluja has over 26 years of experience in Wealth and Capital Management business. He is the founding member of the Wealth advisory and multi-family office business of ASK Group and currently, appointed as Managing Director & CEO of ASK Wealth Advisors Private Limited, the holding company of ASK Financial Holdings Private Limited.
4	Terms & Conditions of appointment	Re-appointment as Director of the Company, liable to retire by rotation to comply with the provisions of section 152 of the Companies Act, 2013	Appointment as Non-executive Director of the Company, liable to retire by rotation to comply with the provisions of section 152 of the Companies Act, 2013
5	Remuneration proposed	As decided by the members at their meeting held on 12th April, 2019 through a special resolution	NIL
6	Remuneration last drawn	Same as above	NIL
7	Date of first appointment	5 th March, 2019	27 th January, 2021
8	Shareholding in the Company	None	None
9	Relationship with other Directors, Manager and other KMPs	None	None
10	Number of Meetings of the Board attended during the year	9/9	4/4 [#]

ASK Financial Holdings Private Limited

CIN : U74999MH2010PTC199927

Registered office: Birla Aurora, 16 Level, Office Floor 9, Dr. Annie Besant Road, Worli, Mumbai- 400 030

E Mail: lijo.varghese@askgroup.in

11	Other Directorships	None	1. ASK Wealth Advisors Private Limited 2. ASK Family Office and Investment Advisors Private Limited
12	Membership/ Chairmanship of Committees of other Boards	As per below table no. 1	As per below table no. 2

Mr. Rajesh Saluja was appointed as Additional Director effective from 27th January, 2021.

Table no. 1

Sr. No.	Name of the Company	Name of the Committee	Chairman / Member
1.	ASK Financial Holdings Private Limited	Risk Management Committee	Member

Table no. 2

Sr. No.	Name of the Company	Name of the Committee	Chairman / Member
1.	ASK Wealth Advisors Private Limited	Corporate Social Responsibility Committee	Member

ASK Financial Holdings Private Limited

CIN : U74999MH2010PTC199927

Registered office: Birla Aurora, 16 Level, Office Floor 9, Dr. Annie Besant Road, Worli, Mumbai- 400 030

E Mail: lijo.varghese@askgroup.in

ROUTE MAP

Google Maps Birla Aurora Century Bhavan

